

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0	PHA Information PHA Name: HOUSING AUTHORITY OF THE COUNTY OF DAUPHIN PHA Code: PA 035 PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 07/2012					
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 727 Number of HCV units: 1,072					
3.0	Submission Type <input type="checkbox"/> 5-Year and Annual Plan <input checked="" type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only					
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)					
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
	PHA 1:				PH	HCV
	PHA 2:					
	PHA 3:					
5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.					
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: <i>Not Applicable this year.</i>					
5.2	Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. <i>Not Applicable this year.</i>					
6.0	PHA Plan Update (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission: 1. Eligibility, Selection, Admissions Policies – A. HACD has made the following discretionary changes to the ACOP: <ul style="list-style-type: none"> The Housing Authority will deny an applicant who has any outstanding rent or other charges due a previous or current landlord. Unintentional mistakes that do not confer any advantage to the applicant will not be considered misrepresentations. The Housing Authority will deny an applicant who fails to meet the suitability criteria as defined in Section 8.3 of the ACOP The Housing Authority will deny an applicant where it has been determined and verified that the applicant would not be able to comply with the material provisions of the Lease, and where there is not a reasonable accommodation the Housing Authority could make. The Housing Authority will deny an applicant who has been evicted from public housing, including having moved from a housing authority as a result of their lease being terminated by a housing authority. The Housing Authority will deny an applicant when it determines that it has reasonable cause to believe that a member or members of an applicant's household may threaten the health, safety, or right to peaceful enjoyment of the premises by other residents When the Housing Authority discovers that a unit will become available, applicants will generally have priority over transfers with the exception of accessible units. When an applicant rejects a unit without good cause they will forfeit their date and time of application and drop to the bottom of the waiting list 					

	<ul style="list-style-type: none"> When an applicant or a resident requests a Live-In Aide, the Live-In Aide must complete a Live-In Aide Application Form The Housing Authority has added language related to allowable absences from the dwelling unit. These include when absences must be reported, and defines absences by various members of the household (e.g., minor children, joint custody of children, adult children, head, spouse, co-head and sole member. Under no circumstances will absences of more than 180 days be allowed (other than children under a joint custody agreement). The Housing Authority added language related to abandonment of the dwelling unit. This includes defining and verifying abandonment, getting legal possession of the unit, and disposition of the tenant's property after seven (7) days written notice to the tenant. Category D, Resident initiated transfers has been added. These transfers are made at the request of the resident. In order to be considered, the resident must have resided in their current unit for a minimum of one year. Reasons for transfer include unreasonable distance to work or school, or social conflict. Transfer requests must be in writing on a transfer application form. A new clause for grounds for termination – Permitting persons not on the lease to receive mail using their address. This will be construed as that person being a resident there, subjecting the Resident to possible fraud charges, lease violations and possible retroactive rent charges. A new clause for grounds for termination – Having flammable liquid, including but not limited to gasoline, propane gas or kerosene inside a Housing Authority dwelling unit or attached storage shed at any time. Likewise, the use of any kerosene heater or similar heater or the use of any cooking appliance for heating <p>B. HACD has made the following discretionary changes to the Section 8 Administrative Plan:</p> <ul style="list-style-type: none"> With regard to incoming ports the Housing Authority will deny admission if a participant owes money to a Housing Authority or is currently under a repayment agreement with a Housing Authority. Per PIH Notice 2012-15: In the case of annual or complaint inspections, in lieu of a re-inspection, the Housing Authority will accept that the defect or defects have been remedied by the owner's written certification along with a receipt from a vendor, a receipt from a contractor indicating the scope of work, a photo of the repair, tenant confirmation, or any combination of these items as determined by the Housing Authority. The corrections will be verified at the next on-site inspection. <p>In the case of initial inspections and project-based voucher inspections, the Housing Authority must still physically verify the correction(s).</p> <p>If any required repair is not made in a timely manner, the rent shall be abated beginning with the next rent check. If <u>two</u> consecutive checks are abated, the assistance shall be cancelled.</p> <ul style="list-style-type: none"> Project-Based – HACD has added language related to the administration of Project-Based Vouchers to the Section 8 Administrative Plan. <p>2. Financial Resources –</p> <ul style="list-style-type: none"> Continuously changing. The financial resources table is included as <u>Attachment A</u> <p>3. Rent Determinations –</p> <p>A. HACD has made the following discretionary changes to the ACOP:</p> <ul style="list-style-type: none"> A reference to HACD's Schedule of Charges has been added to the ACOP. The Housing Authority will be implementing Ceiling Rents. A Rent Collection Policy has been drafted. <p>B. HACD has made the following discretionary changes to the Section 8 Administrative Plan:</p> <ul style="list-style-type: none"> The 10 Year Asset Exclusion language has been added to the Homeownership portion of the Admin Plan. <p>4. Operations and Management – HACD's Organizational Chart is provided in a Supporting Document available for review.</p> <ul style="list-style-type: none"> HACD plans to enter into a Phase II energy performance contract with its ESCO, Honeywell International, to utilize an over-performance of savings from Phase I to fund additional energy conservation measures in the estimated amount of \$3,000,000. The preliminary work items will include the installation of high efficiency heat pumps in three mid-rise buildings, energy efficient boilers in two high-rise buildings, replacement windows in three family sites, additional attic insulation in six family sites, improve the building envelopes and replace deteriorated exterior doors at various sites. An Agreement to proceed with this work is still in the planning stage and will require the necessary HUD approvals before implementation can begin.
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	<p>5. Grievance Procedures – In the Grievance Procedure, HACD added a few definitions and established an "Informal Settlement" procedure which is an informal meeting between the tenant and a Housing Authority representative. If the tenant is not satisfied with the results of the Informal Settlement meeting, the tenant can request a "Grievance Hearing", which is very similar to what was formally referred to as the "Informal Hearing" in the previous version of the Grievance Procedure. To avoid confusion, reference to the word "formal" has been removed. The other large difference in the Procedure is that the hearing officers may be Authority personnel (other than the person who made or approved the PHA action under review, or a subordinate of such person), but must be discussed with and concurred in by the resident council. A copy of the Grievance Procedure is available for submission to HUD for review upon request.</p> <p>6. Designated Housing for Elderly and Disabled Families –</p> <ul style="list-style-type: none"> On January 28, 2009, the HACD received HUD approval for the designation of Hoy Towers as elderly-only for a five-year period. HACD will prepare and submit its' request for a two-year extension of this designation approximately midway through the year of 2013 HACD may apply for the designation of Bistline House and Latsha Towers as elderly-only housing. Details are provided in <u>Attachment B</u> <p>7. Community Service and Self-Sufficiency – No changes</p> <p>8. Safety and Crime Prevention – No changes</p> <p>9. Pets – HACD firmed up some language in the Pet Policy (such as that the list of prohibited animals is not all-inclusive; that pet waste in high rises and mid-rises must be properly contained before it is thrown down a trash chute; that tenants must bear the burden of costs related to the removal and impoundment of their pets. In Section 9 of the Policy (Pet Policy Violations), more definitive language has been added regarding residents who violate the Pet Policy. They will possibly face more harsh punishment including lease termination. A copy of the Pet Policy is available for submission to HUD for review upon request.</p> <p>10. Civil Rights Certification – No changes</p> <p>11. Fiscal Year Audit – Audit has been completed; Findings are being resolved</p> <p>12. Asset Management – HACD is considering reducing the number of AMPs from 10 to 4</p> <p>13. Violence Against Women Act (VAWA) – No changes – See <u>Attachment C</u></p> <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p>Copies at the central office and the regional office at Laurel Hill, located at Autumn Drive and Maple Lane, Williamstown PA.</p>
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>Applicable</p> <p>Mixed-Finance Modernization or Development –</p> <p>If circumstances warrant, HACD may become involved in mixed-finance development</p> <p>Demolition and/or Disposition –</p> <p>HACD will consider applying for the disposition of one unit at PA 35-21, Scattered Sites. Details are in <u>Attachment D</u>.</p> <p>Conversion of Public Housing – Not Applicable</p> <p>Section 8 Homeownership Program – HACD is administering a Section 8 Homeownership Program. Details are in a Supporting Document available for review.</p> <p>Public Housing Homeownership Program – HACD is administering a Public Housing Homeownership Program. Details are in a Supporting Document available for review.</p> <p>Project-Based Vouchers – HACD may advertise the availability of Section 8 tenant-based vouchers to be allocated to project-based assistance upon receipt of HUD approval in accordance with 24 CFR 983.51, Owner Proposal Selection Procedures. The Housing Authority will be considering both new construction and existing developments. Further information is in a Supporting Document available for review.</p>

	<p>Other – HACD may apply for new programs or incremental units if NOFAs are issued by HUD or other appropriate opportunities are presented.</p> <p>Contingent upon the availability of CFP funds HACD may convert additional 0-bedroom units into 1- and 2-bedroom units to increase their marketability.</p>																																																																								
8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.																																																																								
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p> <p>Attachment E – FY 2012 Capital Fund Program Annual Statement Attachment F – FY 2011 Capital Fund Program Performance and Evaluation Report Attachment G – FY 2010 Capital Fund Program Performance and Evaluation Report Attachment H – FY 2009 ARRA Competitive Grant Capital Fund Program Performance and Evaluation Report – Final Report Attachment I – FY 2009 Capital Fund Program Performance and Evaluation Report – Final Report</p>																																																																								
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p>Attachment J – Capital Fund Program Five-Year Action Plan</p>																																																																								
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p> <p><i>Not applicable this fiscal year</i></p>																																																																								
9.0	<p>Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p>A. Housing Needs of Families in the Jurisdiction/s Served by the PHA</p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 10px 0;"> <thead> <tr> <th colspan="8">Housing Needs of Families in the Jurisdiction by Family Type</th></tr> <tr> <th>Family Type</th><th>Overall</th><th>Afford-ability</th><th>Supply</th><th>Quality</th><th>Access-ibility</th><th>Size</th><th>Loca-tion</th></tr> </thead> <tbody> <tr> <td>Income <= 30% of AMI</td><td>7,179</td><td>4</td><td>5</td><td>4</td><td>4</td><td>3</td><td>3</td></tr> <tr> <td>Income >30% but <=50% of AMI</td><td>5,841</td><td>3</td><td>3</td><td>3</td><td>4</td><td>3</td><td>2</td></tr> <tr> <td>Income >50% but <80% of AMI</td><td>8,669</td><td>2</td><td>3</td><td>2</td><td>4</td><td>3</td><td>1</td></tr> <tr> <td>Elderly</td><td>6,354</td><td>4</td><td>3</td><td>3</td><td>4</td><td>3</td><td>3</td></tr> <tr> <td>Families with Disabilities</td><td>3,445</td><td>4</td><td>4</td><td>3</td><td>5</td><td>3</td><td>4</td></tr> <tr> <td>Race/Ethnicity-Black</td><td>8,985</td><td>4</td><td>3</td><td>3</td><td>4</td><td>3</td><td>3</td></tr> <tr> <td>Race/Ethnicity-Hispanic</td><td>2,077</td><td>4</td><td>3</td><td>3</td><td>4</td><td>3</td><td>3</td></tr> </tbody> </table> <p>What sources of information did the PHA use to conduct this analysis? (all materials must be made available for public inspection.) <input checked="" type="checkbox"/> U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset (2000)</p>	Housing Needs of Families in the Jurisdiction by Family Type								Family Type	Overall	Afford-ability	Supply	Quality	Access-ibility	Size	Loca-tion	Income <= 30% of AMI	7,179	4	5	4	4	3	3	Income >30% but <=50% of AMI	5,841	3	3	3	4	3	2	Income >50% but <80% of AMI	8,669	2	3	2	4	3	1	Elderly	6,354	4	3	3	4	3	3	Families with Disabilities	3,445	4	4	3	5	3	4	Race/Ethnicity-Black	8,985	4	3	3	4	3	3	Race/Ethnicity-Hispanic	2,077	4	3	3	4	3	3
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B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

Housing Needs of Families on the Waiting List- Section 8			
	# of families	% of total families	Annual Turnover
Waiting list total	3,697		89 vouchers
Extremely low income <=30% AMI	2,927	79%	
Very low income (>30% but <=50% AMI)	698	19%	
Low income (>50% but <80% AMI)	67	2%	
Families with children	2,314	63%	
Elderly families	252	7%	
Families with Disabilities	467	13%	
Single	664	18%	
Race/ethnicity-White	1,238	33%	
Race/ethnicity-Black	2,343	63%	
Race/ethnicity-Hispanic	734	20%	
Race/ethnicity-Native American	22	>1%	
Race/ethnicity-Asian	80	2%	

Is the waiting list closed (select one)? ☐ No ☒ Yes If yes: How long has it been closed (# of months)? **20 months**
Does the PHA expect to reopen the list in the PHA Plan year? ☒ No ☐ Yes
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☒ Yes

HACD received HUD approval for 10 vouchers for the non-elderly disabled transitioning from nursing homes and other health care institutions into the community. HACD will comply with wait list management procedures for selecting applicants as outlined in its Memorandum of Understanding with the Center for Independent Living of Central PA

Under the Family Unification Program, HACD will comply with wait list management procedures for selecting applicants as outlined in its Memorandum of Understanding with Dauphin County Social Services for Children and Youth and its revised Fair Housing Policy

Housing Needs of Families on the Waiting List- Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	3,946		147 units
Extremely low income <=30% AMI	3,236	82%	
Very low income (>30% but <=50% AMI)	614	16%	
Low income (>50% but <80% AMI)	95	2%	
Families with children	2,026	51%	
Elderly families	170	4%	
Families with Disabilities	693	18%	
Single	1,057	27%	
Race/ethnicity-White	1,613	41%	
Race/ethnicity-Black	2,137	54%	
Race/ethnicity-Hispanic	736	19%	
Race/ethnicity-Native American	28	<1%	
Race/ethnicity-Asian	166	4%	
Characteristics by Bedroom Size			
0BR	749	18%	45 units
1BR	1,144	29%	63 units
2 BR	1,267	32 %	18 units
3 BR	586	15 %	18 units
4 BR	164	4%	2 units
5 BR	36	1%	1 unit
<p>Is the waiting list closed (select one)? <input type="checkbox"/> No <input type="checkbox"/> Yes See note below</p> <p>If yes: How long has it been closed (# of months)?</p> <p>Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes</p> <p>Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes</p> <p>Note: The waiting list is partially closed. We are still accepting applications for the true-elderly (age 62 and older), those who are permanently disabled, and those who are interested in living in our buildings or developments in Northern Dauphin County. The waiting list has been partially closed since December 1, 2010. We expect to fully reopen it within our Plan year and we permit specific categories of families onto the waiting list, even if generally closed.</p>			

9.1 Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

To address the housing needs of families in the jurisdiction and on the waiting lists, some of the strategies the Housing Authority of the County of Dauphin will strive for include the following:

Continue to maintain very low vacancy rate and turnaround time
Apply for additional section 8 units should they become available
Pursue housing resources other than public housing or Section 8 tenant-based assistance should the opportunities arise
Collaborate with Affordable Housing Associates of Dauphin County, Inc in pursuing affordable housing options

Funding and staffing constraints as well as consultation with the Consolidated Plan Agency and the RAB will impact some of the above activities.

10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The following table reflects the progress we have made in achieving our goals and objectives:</p> <table border="1"> <tr> <td colspan="2">Goal: EXPAND THE SUPPLY OF ASSISTED HOUSING</td> </tr> <tr> <td>Objective</td><td>Progress</td> </tr> <tr> <td>Apply for additional rental vouchers if appropriate NOFAs are issued.</td><td>PHA has applied for the Family Unification Program and two categories of vouchers for non-elderly persons with disabilities</td> </tr> <tr> <td>Continue to reduce public housing vacancies (FYE 6/30/2009 occupancy rate = 95%)</td><td>At CYE 12/31/2011, there were only five vacancies, for an occupancy rate of 99.3%</td> </tr> </table> <table border="1"> <tr> <td colspan="2">Goal: IMPROVE THE QUALITY OF ASSISTED HOUSING</td> </tr> <tr> <td>Objective</td><td>Progress</td> </tr> <tr> <td>Continue to improve public housing management (Most recent PHAS Score for FYE 6/30/2008 was 86)</td><td>Most recent PHAS Score for FYE 6/30/2010 was 87</td> </tr> <tr> <td>Continue to improve voucher management (Most recent SEMAP Score for FYE 6/30/2009 was 97)</td><td>Most recent SEMAP Score for FYE 6/30/2011 was 99</td> </tr> <tr> <td>Continue to renovate or modernize public housing units using available capital funds</td><td>Some major improvements over the past year include: comprehensive roof repairs at Bistline House, Essex House, additional minor roof repairs at Rattling Creek, Highspire Apts. & Latsha Towers. Replaced all hallway carpeting at Bistline House; replaced ceramic tile in the community dining room. Installed photo-voltaic central solar heating system at Gratz Park Terrace.</td> </tr> </table> <table border="1"> <tr> <td colspan="2">Goal: PROVIDE AN IMPROVED LIVING ENVIRONMENT</td> </tr> <tr> <td>Objective</td><td>Progress</td> </tr> <tr> <td>Designate developments or buildings for particular resident groups (elderly, persons with disabilities): HACD will continue to operate under its existing Designated Housing Plan for Hoy Towers, which was approved by HUD on January 28, 2009 for a five-year period. HACD will submit an application for a two-year renewal of its Designated Housing Plan in 2013.</td><td>Designation as elderly only housing continues to be implemented at Hoy Towers</td> </tr> <tr> <td>If circumstances warrant, HACD may apply for the designation of Bistline House and Latsha Towers as elderly-only housing.</td><td>HACD anticipates applying for the designation of Bistline by 6/30/2013</td> </tr> </table> <table border="1"> <tr> <td colspan="2">Goal: PROMOTE SELF-SUFFICIENCY AND ASSET DEVELOPMENT OF ASSISTED HOUSEHOLDS.</td> </tr> <tr> <td>Objective</td><td>Progress</td> </tr> <tr> <td>Continue HACD's existing Family Self-Sufficiency Programs.</td><td>Current participation in the FSS Program is 77 families</td> </tr> </table> <table border="1"> <tr> <td colspan="2">Goal: Continue compliance with provisions of the Violence Against Women Act (VAWA)</td> </tr> <tr> <td>Objective</td><td>Progress</td> </tr> <tr> <td>Continue to comply with the Violence Against Women Act (VAWA)</td><td>HACD is in compliance with all aspects of VAWA</td> </tr> </table>	Goal: EXPAND THE SUPPLY OF ASSISTED HOUSING		Objective	Progress	Apply for additional rental vouchers if appropriate NOFAs are issued.	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Objective	Progress																																						
Continue HACD's existing Family Self-Sufficiency Programs.	Current participation in the FSS Program is 77 families																																						
Goal: Continue compliance with provisions of the Violence Against Women Act (VAWA)																																							
Objective	Progress																																						
Continue to comply with the Violence Against Women Act (VAWA)	HACD is in compliance with all aspects of VAWA																																						

10.0	<p>Continued</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>The Housing Authority of the County of Dauphin has adopted a definition of substantial deviation and significant amendment or modification. That definition is:</p> <p>A. Substantial Deviation from the 5-year Plan:</p> <p>A substantial deviation from the 5-year Plan occurs when the Board of Commissioners decides that it wants to change the mission statement, goals or objectives of the 5-year plan.</p> <p>B. Significant Amendment or Modification to the Annual Plan:</p> <p>Significant amendments or modifications to the Annual Plan are defined as discretionary changes in the plans or policies of the housing authority that fundamentally change the plans of the agency and which require formal approval of the Board of Commissioners.</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>See Attachment K – Resident Advisory Board Comments & Housing Authority Responses</p> <p>(g) Challenged Elements – See Attachment L – Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

Attachment M – Certifications

Attachment A

Housing Authority of the County of Dauphin

Annual Plan
Fiscal Year 07/01/2012 – 06/30/2013

Financial Resources

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2012 grants)		
a) Public Housing Operating Fund	2,466,217	Program Operations
b) Public Housing Capital Fund	740,368	Capital Improvements
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	7,461,204	HAP & Admin Costs
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants (FSS)	28,327	FSS Coordinator
h) Community Development Block Grant	0	
i) HOME		
Other Federal Grants (list below)		
Shelter Plus Care	297,852	HAP & Admin Costs
2. Prior Year Federal Grants (unobligated funds only) (list below)		
FY 2011 CFP	127,348	Capital Improvements
FY 2010 CFP	26,541	Capital Improvements
3. Public Housing Dwelling Rental Income		
Dwelling Rent	1,331,052	P.H. Operations
Excess Utilities	16,760	P.H. Operations
4. Other income (list below)		
Interest	23,667	P.H. Operations
Misc.; Non-dwelling Rent	90,598	P.H. Operations
4. Non-federal sources (list below)		
Total resources	12,609,934	Operations/Modernization/Support

Attachment B

Housing Authority of the County of Dauphin

Annual Plan

Fiscal Year 07/01/2012 – 06/30/2013

Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

1. ☒ Yes ☐ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

Designation of Public Housing Activity Description
1a. Development name: Hoy Towers, 1b. Development (project) number: PA035-004
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved ; included in the PHA's Designation Plan <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved , submitted, or planned for submission: (01/28/2009)
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 100 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

HACD will prepare its' request for a two-year extension of this designation approximately midway through the year of 2013

Designation of Public Housing Activity Description
1a. Development name: Bistline House
1b. Development (project) number: PA035-005
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date this designation approved, submitted, or <u>planned for submission:</u> <u>(06/30/2013)</u>
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 80
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

Designation of Public Housing Activity Description
1a. Development name: Latsha Towers
1b. Development (project) number: PA035-007
2. Designation type: Occupancy by only the elderly <input checked="" type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA's Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date this designation approved, submitted, or <u>planned for submission:</u> <u>(06/30/2013)</u>
5. If approved, will this designation constitute a (select one) <input checked="" type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 75
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development

Attachment C

Housing Authority of the County of Dauphin

Annual Plan

Fiscal Year 07/01/2012 – 06/30/2013

Violence Against Women Act (VAWA) Report

A goal of the Housing Authority of the County of Dauphin is to fully comply with the Violence Against Women Act (VAWA). It is our objective to work with others to prevent offenses covered by VAWA to the degree we can.

The Housing Authority of the County of Dauphin provides or offers the following activities, services, or programs, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking:

Through cooperation with the local domestic violence agencies and local police departments, any cases of violence as described are referred for assistance. The local domestic violence agencies are:

**YWCA of Greater Harrisburg
Solais Inc.**

The Housing Authority of the County of Dauphin provides or offers the following activities, services, or programs that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing:

Program staff at the local domestic violence agencies is aware of our housing programs and make client referrals to our office. Apparently eligible clients are placed on our waiting lists when those lists are on open enrollment. An applicant that is or has been a victim of domestic violence, dating violence, or stalking is not an appropriate basis for denial of program assistance or for denial of admission, if the applicant otherwise qualifies for assistance or admission. The Housing Authority also has a local preference for victims of domestic violence for admissions to its public housing and Housing Choice Voucher programs.

For persons already living in a public housing or Housing Choice Voucher unit who become victims as described, these are referred to the above-listed domestic violence advocacy groups and the local police departments. A tenant or participant that is or has been a victim of domestic violence, dating violence, or stalking is not an appropriate basis for termination of program assistance, if the tenant or participant is otherwise compliant with terms of assistance.

The Housing Authority of the County of Dauphin provides or offers the following activities, services, or programs to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families:

The same methods as described herein are used, making referrals to the above-listed domestic violence advocacy groups for counseling and support services, and attempting to enforce orders of protection with the cooperation of Police Department personnel.

The Housing Authority of the County of Dauphin has the following procedures in place to assure applicants, residents and participants are aware of their rights and responsibilities under the Violence Against Women Act:

All residents and participants have been notified of their rights and responsibilities under the Violence Against Women Act.

The orientation for new residents and participants includes information on their rights and responsibilities under the Violence Against Women Act.

The Admissions & Continued Occupancy Policy (ACOP), the Public Housing Dwelling Lease, and the Section 8 Administrative Plan have been revised to include screening and termination language related to the Violence Against Women Act.

Attachment D

Housing Authority of the County of Dauphin

Annual Plan Fiscal Year 07/01/2012 – 06/30/2013

Demolition and Disposition

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- ☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Scattered Sites
1b. Development (project) number PA035-21
2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or <u>planned</u> for submission: <u>(06/30/13)</u>
5. Number of units affected: 1
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: Upon application approval b. Projected end date of activity: 6 months after application approval

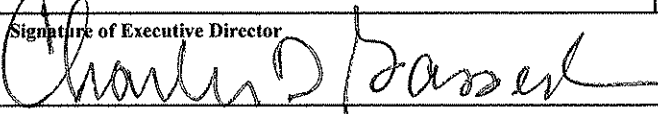
Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550112 Replacement Housing Factor Grant No: Date of CFFP: N/A			FFY of Grant: 10/1/2012 FFY of Grant Approval: 10/1/2012
Type of Grant <input checked="checked" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	6,000			
4	1410 Administration (may not exceed 10% of line 21)	74,030			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	14,994			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	293,453			
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	22,000			
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	329,891			
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	740,368			
21	Amount of line 20 Related to LBP Activities	0			
22	Amount of line 20 Related to Section 504 Activities	0			
23	Amount of line 20 Related to Security - Soft Costs	0			
24	Amount of line 20 Related to Security - Hart Costs	0			
25	Amount of line 20 Related to Energy Conservation Measures	0			

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550112 Date of CFFP: N/A		FFY of Grant: 10/1/2012 FFY of Grant Approval: 10/1/2012	
Type of Grant <input checked="checked" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director 		Date 02-15-2012		Signature of Public Housing Director 	

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550112 CFFP (Yes/No): No Replacement Housing Factor Grant No:					10/1/2012	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #2	Replace Hallway Carpeting	1460	3000 Sq Ft	18,000				
PA035000002								
DEV 011								
AMP #3	Debt Service - CFFP	9000		329,891				
PA035000003								
DEV 003								
AMP #4	Modernize Elevators	1460	2	214,453				
PA035000004	Design/Inspection	1430		14,994				
DEV 004								
AMP #8	Replace Hallway Carpeting	1460	3000 Sq Ft	18,000				
PA035000008								
DEV 009								

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Daupin		Capital Fund Program Grant No: PA26PO3550112 CFFP (Yes/No): No Replacement Housing Factor Grant No:					10/1/2012	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP # 10	Replace Hallway Carpeting	1460	3000 Sq Ft	18,000				
PA035000010								
DEV 010								
AMP # 10	Replace Hallway Carpeting	1460	4200 Sq Ft	25,000				
PA035000010								
DEV 023								
COCC	Purchase Vehicle	1475	1	22,000				
	Computer Software	1408		6,000				
	Salaries	1410		51,820				
	Benefits	1410		14,805				
	Overhead	1410		7,405				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report.

PHA Name: Housing Authority of the County of Dauphin	Federal FFY of Grant: 10/1/2012
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550111 Date of CFFP: N/A Replacement Housing Factor Grant No:			FFY of Grant: 10/1/2011 FFY of Grant Approval: 10/1/2011
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	55,000	55,000	55,000	34,983
4	1410 Administration (may not exceed 10% of line 21)	81,876	81,876	81,876	7,225
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	25,516	11,994	0	0
8	1440 Site Acquisition				
9	1450 Site Improvement		80,000	0	0
10	1460 Dwelling Structures	326,478	260,000	224,646	0
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	329,891	329,891	329,891	0
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	818,761	818,761	691,413	42,208
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security - Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security - Hart Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550111 Replacement Housing Factor Grant No: Date of CFFP: N/A			FFY of Grant: 10/1/2011 FFY of Grant Approval: 10/1/2011
Type of Grant <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Original Annual Statement </div> <div> <input type="checkbox"/> Reserve for Disasters/Emergencies </div> <div> <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2011 </div> <div> <input type="checkbox"/> Final Performance and Evaluation Report </div> </div>				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated Expended
Signature of Executive Director		Date	Signature of Public Housing Director	Date
		03-08-2012		

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550111 CFFP (Yes/No): No Replacement Housing Factor Grant No:					10/1/2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #2	Modernize Elevators	1460	2	93,826	0	0	0	Transferred to 2015
PA035000002	Replace Hallway Carpeting	1460	3000 Sq Ft	15,000	0	0	0	Transferred to 2012
DEV 011	Design & Inspection	1430		8,506	0	0	0	Transferred to COCC
	Salaries	1410		19,090	0	0	0	Transferred to COCC
	Benefits	1410		5,455	0	0	0	Transferred to COCC
	Overhead	1410		2,747	0	0	0	Transferred to COCC
AMP #3	Debt Service	9000		329,891	329,891	329,891	0	Underway
PA035000003								
DEV 003								
AMP #7	Modernize Elevators	1460			260,000	224,646	0	Underway
PA035000007								
DEV 007								

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Daupin		Capital Fund Program Grant No: PA26PO3550111 CFFP (Yes/No): No Replacement Housing Factor Grant No:					10/1/2011	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #8	Modernize Elevators	1460	2 Hydrolic	93,826	0	0	0	Transferred to 2013
PA035000008	Replace Hallway Carpeting	1460	3000 Sq Ft	15,000	0	0	0	Transferred to 2012
DEV 009	Design & Inspection	1430		8,505	0	0	0	Transferred to COCC
	Salaries	1410		19,090	0	0	0	Transferred to COCC
	Benefits	1410		5,455	0	0	0	Transferred to COCC
	Overhead	1410		2,747	0	0	0	Transferred to COCC
AMP #10	Modernize Elevators	1460	2 Hydrolic	93,826	0	0	0	Transferred to 2014
PA035000010	Replace Hallway Carpeting	1460	3000 Sq Ft	15,000	0	0	0	Transferred to 2012
DEV 010	Design & Inspection	1430		8,505	0	0	0	Transferred to COCC
	Salaries	1410		19,090	0	0	0	Transferred to COCC
	Benefits	1410		5,455	0	0	0	Transferred to COCC
	Overhead	1410		2,747	0	0	0	Transferred to COCC
AMP #8	Expand Parking Lot	1450	8000 Sq Ft		80,000	0	0	Planning
PA035000008								
DEV 008								

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

[illegible]

² To be completed for the Performance and Evaluation Report.

PHA Name: Housing Authority of the County of Dauphin	Federal FFY of Grant: 10/1/2011
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¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550110 Replacement Housing Factor Grant No: Date of CFFP: N/A			FFY of Grant: 10/1/2010 FFY of Grant Approval: 10/1/2010
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2011 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	95,137	95,137	95,137	80,266
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	50,000	39,241	19,654	19,654
8	1440 Site Acquisition				
9	1450 Site Improvement	15,000	90,897	90,897	47,209
10	1460 Dwelling Structures	444,016	400,487	394,487	302,113
11	1465.1 Dwelling Equipment - Nonexpendable	21,500			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	330,000	329,891	329,891	329,891
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	955,653	955,653	930,066	779,133
21	Amount of line 20 Related to LBP Activities	0	0	0	
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security - Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security - Hart Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	209,216	106,811	100,811	18,700

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550110 Replacement Housing Factor Grant No: Date of CFFP: N/A			FFY of Grant: 10/1/2010 FFY of Grant Approval: 10/1/2010	
Type of Grant <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies </div> <div> <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/2011 </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 2) <input type="checkbox"/> Final Performance and Evaluation Report </div> </div>					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
Signature of Executive Director 	Date 03-08-2012	Signature of Public Housing Director Date			

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No: PA26PO3550110 CFFP (Yes/No): No Replacement Housing Factor Grant No:					10/1/2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #3 PA035000003 DEV 003	Debt Service	9000	Annual	330,000	329,891	329,891	329,891	Complete
AMP #6 PA035000006 DEV 006	Replace Roof	1460	25,000 Sq Ft	92,075	122,076	122,076	122,076	Complete
	Gutters & Downspouts	1460	1,200 L Ft	15,000	15,000	15,000	15,000	Complete
	Remodel Bathrooms	1460	43 Units	225,000	175,300	175,300	162,037	Underway
	Repair Sidewalks	1450	1,000 Sq Ft	15,000	90,897	90,897	47,209	Underway
	Upgrade Kitchen Lighting	1460	43 Units	35,000	7,120	7,120	3,000	Underway
	Additional Insulation	1460	43 Units	20,000	6,000	0	0	Planning
	Replace Selected Windows	1460	43 Units	11,000	29,050	29,050	0	Planning
	Replace Electric Ranges	1465	43 Units	21,500	0	0	0	Deleted
	Architectural & Engineering	1430		31,300	0	0	0	Transferred to COCC
	Salaries	1410		66,590	0	0	0	Transferred to COCC
	Benefits	1410		19,030	0	0	0	Transferred to COCC
	Overhead	1410		9,517	0	0	0	Transferred to COCC

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name:		Grant Type and Number					Federal FFY of Grant:	
Housing Authority of the County of Daupin		Capital Fund Program Grant No: PA26PO3550110 CFFP (Yes/No): No Replacement Housing Factor Grant No:					10/1/2010	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #10	Building Envelope & Insulation	1460	1,100 Sq Ft	25,949	25,949	25,949	0	Underway
PA035000023	Metal Roof	1460	1,830 Sq Ft	19,992	19,992	19,992	0	Underway
DEV 010								
Central Office	Energy Audit	1430	10 AMPS	18,700	18,700	18,700	18,700	Complete
	Design & Inspection	1430		0	0	0	0	Deleted
	Management Improvements	1408		0	20,541	954	954	Planning
	Salaries	1410		0	80,860	80,860	74,015	Underway
	Benefits	1410		0	9,515	9,515	5,342	Underway
	Overhead	1410		0	4,762	4,762	909	Underway

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

[illegible]

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: Housing Authority of the County of Dauphin					Federal FFY of Grant: 10/1/2010
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End	Actual Expenditure End Date	
PA035000002	7/15/2012		7/15/2014		
PA035000003	7/15/2012		7/15/2014		
PA035000005	7/15/2012		7/15/2014		
PA035000006	7/15/2012		7/15/2014		
PA035000008	7/15/2012		7/15/2014		
PA035000010	7/15/2012		7/15/2014		
Central Office	7/15/2012		7/15/2014		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA03500001009R Replacement Housing Factor Grant No: Date of CFFP: N/A			FFY of Grant: 2009 ARRA FFY of Grant Approval: 2009 ARRA
Type of Grant <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies </div> <div> <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 </div> <div> <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Final Performance and Evaluation Report </div> </div>					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	4,479	4,479	4,479	4,479
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	182,500	182,500	182,500	182,500
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	1,053,021	1,053,021	1,053,021	1,053,021
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	1,240,000	1,240,000	1,240,000	1,240,000
21	Amount of line 20 Related to LBP Activities	0		0	0
22	Amount of line 20 Related to Section 504 Activities	0		0	0
23	Amount of line 20 Related to Security - Soft Costs	0		0	0
24	Amount of line 20 Related to Security - Hart Costs	0		0	0
25	Amount of line 20 Related to Energy Conservation Measures	1,235,521	1,235,521	1,235,521	1,235,521

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA03500001009R Replacement Housing Factor Grant No: Date of CFFP: N/A			FFY of Grant: 2009 ARRA FFY of Grant Approval: 2009 ARRA
Type of Grant <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies </div> <div> <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 6/30/2011 </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) </div> <div> <input checked="" type="checkbox"/> Final Performance and Evaluation Report </div> </div>				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ¹	Obligated Expended
Signature of Executive Director	Date	Signature of Public Housing Director		Date
	12-09-2011			

Part II: Supporting Pages

PHA Name:		Grant Type and Number						Federal FFY of Grant:	
Housing Authority of the County of Dauphin		Capital Fund Program Grant No:		PA03500001009R		CFFP (Yes/No):		No	
		Replacement Housing Factor Grant No:							
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work	
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²		
AMP #10	Fiber Cement Siding & Insulation	1460	13,000 Sq Ft	294,000	294,000	294,000	294,000	Complete	
PA035000010	Energy Star Windows	1460	2,100 Sq Ft	108,000	108,000	108,000	108,000	Complete	
DEV 23	Thermal Front Entrance	1460	100 Sq Ft	27,200	27,200	27,200	27,200	Complete	
Gratz Park Terrace	Metal Roof	1460	14,800 Sq Ft	117,000	117,000	117,000	117,000	Complete	
	Masonry & Exterior Wall Repairs	1460	12,000 Sq Ft	100,021	100,021	100,021	100,021	Complete	
	Roof Top Solar (Hot Water System)	1460	800 Sq Ft	100,000	100,000	100,000	100,000	Complete	
	Roof Top Solar (Photo Voltaic)	1460	15,000 Sq Ft	260,000	260,000	260,000	260,000	Complete	
	Rear & Side Porches	1460	3	40,000	40,000	40,000	40,000	Complete	
	Lightning Protection System	1460	1	6,800	6,800	6,800	6,800	Complete	
	Windmill & Turbine	1475	1					Complete	
	Archectiectural & Engineering	1430		182,500	182,500	182,500	182,500	Complete	
	Administration	1410		4,479	4,479	4,479	4,479	Complete	

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

[illegible]

² To be completed for the Performance and Evaluation Report.

[illegible]

² To be completed for the Performance and Evaluation Report.

[illegible]

Page 6 of 7

Part I: Summary					
PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550109 Date of CFFP: N/A Replacement Housing Factor Grant No:		FFY of Grant: 10/1/2009 FFY of Grant Approval: 10/1/2009	
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 11/30/2011 <input checked="" type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements	11,215	17,128	17,128	17,128
4	1410 Administration (may not exceed 10% of line 21)	95,246	95,246	95,246	95,246
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	48,925	53,066	53,066	53,066
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	447,575	437,521	437,521	437,521
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	26,049	26,049	26,049	26,049
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	329,891	329,891	329,891	329,891
19	1502 Contingency (may not exceeds 8% of line 20)				
20	Amount of Annual Grant: (sum of line 2 - 19)	958,901	958,901	958,901	958,901
21	Amount of line 20 Related to LBP Activities	0	0	0	0
22	Amount of line 20 Related to Section 504 Activities	0	0	0	0
23	Amount of line 20 Related to Security - Soft Costs	0	0	0	0
24	Amount of line 20 Related to Security - Hart Costs	0	0	0	0
25	Amount of line 20 Related to Energy Conservation Measures	0	0	0	0

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 Units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part I: Summary				
PHA Name: Housing Authority of the County of Dauphin	Grant Type and Number Capital Fund Program Grant No: PA26PO3550109 Replacement Housing Factor Grant No: Date of CFFP: N/A			FFY of Grant: 10/1/2009 FFY of Grant Approval: 10/1/2009
Type of Grant <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Original Annual Statement </div> <div> <input type="checkbox"/> Reserve for Disasters/Emergencies </div> <div> <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 3) </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 11/30/2011 </div> <div> <input checked="" type="checkbox"/> Final Performance and Evaluation Report </div> </div>				
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹
		Original	Revised ²	Obligated Expended
Signature of Executive Director 		Signature of Public Housing Director 		
Date		Date		
12-09-2011				

Part II: Supporting Pages

PHA Name: Housing Authority of the County of Dauphin		Grant Type and Number Capital Fund Program Grant No: PA26PO3550109 CFFP (Yes/No): No Replacement Housing Factor Grant No:						Federal FFY of Grant: 10/1/2009
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
AMP #1	Purchase Vehicle	1475	1	18,177	18,177	18,177	18,177	Complete
PA035000001	Salaries	1410		2,702	2,702	2,702	2,702	Complete
DEV 001	Benefits	1410		837	837	837	837	Complete
	Overhead	1410		266	266	266	266	Complete
AMP #3	Debt Service	9000		329,891	329,891	329,891	329,891	Complete
PA035000003								
DEV 003								
AMP #5	Efficiency Apt Reconfiguration	1460	17 units	375,250	375,250	375,250	375,250	Complete
PA035000005	Replace Carpeting	1460	64 units	72,325	62,271	62,271	62,271	Complete
DEV 005	Salaries	1410		63,028	63,028	63,028	63,028	Complete
	Benefits	1410		18,728	18,728	18,728	18,728	Complete
	Overhead	1410		6,581	6,581	6,581	6,581	Complete
AMP #6	Design Services	1430		17,400	17,400	17,400	17,400	Complete
PA035000006								
DEV 006								

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

[illegible]

² To be completed for the Performance and Evaluation Report.

[illegible]

² To be completed for the Performance and Evaluation Report.

[illegible]

form HUD-50075.1 (4/2008)

Enter applicable data in the highlighted fields. After entering the data go to Page 1, the tab is at the bottom, you will see that the data you entered here has been prefilled. Also notice that some of the fields have a red mark in the right hand corner, these are comments pertaining to data entry. This is a protected document to ensure that the formula and cell references are not modified.

PHA Name/Number	Dauphin County Housing Authority
Locality (City/County & State)	Dauphin County , Pennsylvania
Original	x
Revision	Revision No.
Development Number and Name	AMP 1 thru 10, COCC
Year 1 - FFY	2009 Non-CFP Funds
Year 2 - FFY	2010 Non-CFP Funds
Year 3 - FFY	2011 Non-CFP Funds
Year 4 - FFY	2012 Non-CFP Funds
Year 5 - FFY	2013 Non-CFP Funds

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary						
PHA Name/Number Dauphin County Housing Authority			Locality (City/County & State) Dauphin County , Pennsylvania		<input checked="" type="checkbox"/> Original 5-Year Plan	Revision No:
A.	Development Number and Name AMP 1 thru 10, COCC	Work Statement for Year 1 FFY <u>2012</u>	Work Statement for Year 2 FFY <u>2013</u>	Work Statement for Year 3 FFY <u>2014</u>	Work Statement for Year 4 FFY <u>2015</u>	Work Statement for Year 5 FFY <u>2016</u>
B.	Physical Improvements Subtotal	Annual Statement	336,447	336,447	336,447	336,447
C.	Management Improvements					
D.	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		74,030	74,030	74,030	74,030
F.	Other					
G.	Operations					
H.	Demolition					
I.	Development					
J.	Capital Fund Financing - Debt Service		329,891	329,891	329,891	329,891
K.	Total CFP Funds		740,368	740,368	740,368	740,368
L.	Total Non-CFP Funds					
M.	Grand Total		740,368	740,368	740,368	740,368

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part I: Summary (Continuation)						
PHA Name/Number Dauphin County Housing Authority			Locality (City/County & State) Dauphin County , Pennsylvania		<input checked="" type="checkbox"/> Original 5-Year Plan	Revision No:
A.	Development Number and Name AMP 1 thru 10, COCC	Work Statement for Year 1 FFY <u>2012</u>	Work Statement for Year 2 FFY <u>2013</u>	Work Statement for Year 3 FFY <u>2014</u>	Work Statement for Year 4 FFY <u>2015</u>	Work Statement for Year 5 FFY <u>2016</u>
		Annual Statement				
B.	Central Office		74,030	74,030	74,030	74,030
C.	PA035000002				196,447	99,453
D.	PA035000003		329,891	329,891	329,891	329,891
E.	PA035000004		70,547		25,000	26,994
F.	PA035000005				25,000	180,000
G.	PA035000008		265,900	191,084		15,000
H.	PA035000010			145,353	90,000	15,000
I.						
J.						
K.						
L.						
M.	Total		740,368	740,358	740,368	740,368

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)

[illegible]

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part II: Supporting Pages - Physical Needs Work Statement(s)

[illegible]

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part III: Supporting Pages - Management Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2012</u>	Work Statement for Year <u>2</u> FFY <u>2013</u>		Work Statement for Year <u>3</u> FFY <u>2014</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	Central Office Cost Center		Central Office Cost Center	
Annual	Administration	74,030	Administration	74,030
Statement				
	Subtotal of Estimated Cost	\$74,030	Subtotal of Estimated Cost	\$74,030

Capital Fund Program - Five Year Action Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Part III: Supporting Pages - Management Needs Work Statement(s)

Work Statement for Year 1 FFY <u>2012</u>	Work Statement for Year <u>4</u> FFY <u>2015</u>		Work Statement for Year <u>5</u> FFY <u>2016</u>	
	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost
See	Central Office Cost Center		Central Office Cost Center	
Annual	Administration	74,030	Administration	74,030
Statement				
	Subtotal of Estimated Cost	\$74,030	Subtotal of Estimated Cost	\$74,030

Attachment K

Housing Authority of the County of Dauphin

Annual Plan

Fiscal Year 07/01/2012 – 06/30/2013

Resident Comments and Housing Authority Responses

Comment 1 – A resident asked if there are any plans in the future that will allow Residents to pay rent on-line.

Response to Comment 1 - Housing Authority responded that could be coming and we are keeping it in mind.

Comment 2 - A resident asked if it would have been less expensive to purchase new elevators.

Response to Comment 2 - Housing Authority responded that it would cost more to purchase new elevators than to have them modernized like we are doing. Housing Authority explained that the elevators are essentially new elevators after the modernization work.

Comment 3 - A resident asked if any of the upgrade to our computer system was dedicated to the security (cameras) of Latsha Towers.

Response to Comment 3 - Housing Authority responded there is already a camera system in the building.

Comment 4 - A resident asked if carpet could be replaced in a unit at Highspire Apartments.

Response to Comment 4 - Housing Authority responded that the building manager is to be contacted regarding this and it is up to the building manager for individual units.

Comment 5 - A resident asked when will the loan for the Cole Crest development be paid in full.

Response to Comment 5 - Housing authority responded that we are about halfway through.

Comment 6 - A resident asked where does the money come from to pay the loan for the Cole Crest development.

Response to Comment 6 - Housing Authority explained that it comes from the yearly allocation we get for capital improvements.

Comment 7 – With regard to an applicant's rejection of a unit without good cause, a resident asked if there would be a committee in place to determine if the applicant's reason for rejection is a good reason.

Response to Comment 7 - Housing Authority responded that the Housing Authority would develop a definition for good cause and there would not be a committee.

Comment 8 – With regard to the parking lot expansion at Essex House, a resident expressed concern about not having enough handicapped parking spaces.

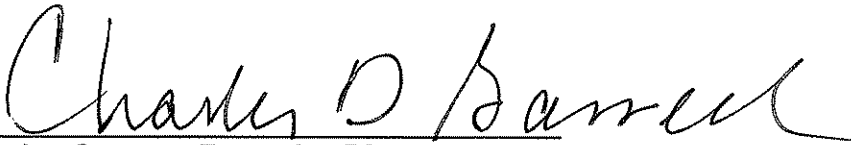
Response to Comment 8 - Housing Authority responded that we could add additional handicap space with the expansion and would try to accommodate the best we can.

Comment 9 – With regard to the parking lot expansion at Essex House, some residents expressed concern over losing recreational and garden area because of the location of the new parking area.

Response to Comment 9 - Housing Authority responded that the location chosen for the new parking area is the best option and the Housing Authority would work with the residents to find a new suitable recreation and garden area.

Comment 10 - A resident asked if Hoy Towers would have air conditioning work.

Response to Comment 10 - Housing Authority responded that the air conditioning option is to put one in the window of the unit. Housing Authority indicated it would cost well over one million dollars to have central air conditioning installed in the building. Housing Authority explained that it would be very difficult to install air conditioning in Hoy Towers because of the nature of the building's structure.

A handwritten signature in black ink, reading "Charles D. Gassert". The signature is fluid and cursive, with a horizontal line extending from the end of the name.

**Charles Gassert, Executive Director
Housing Authority of the County of Dauphin
April 11, 2012**

Attachment L

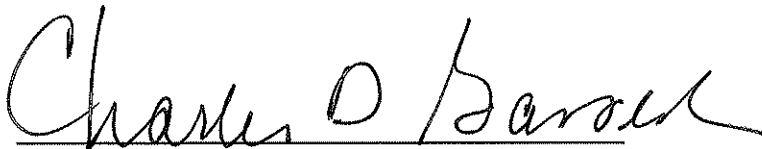
Housing Authority of the County of Dauphin

Annual Plan

Fiscal Year 07/01/2012 – 06/30/2013

Challenged Elements

There were no challenged elements to the Housing Authority's Annual Plan.

A handwritten signature in black ink, reading "Charles D. Gassert". The signature is fluid and cursive, with the first name "Charles" and last name "Gassert" clearly legible. The middle initial "D." is smaller and less distinct. The signature is written over a horizontal line.

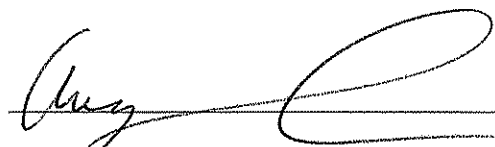
**Charles Gassert, Executive Director
Housing Authority of the County of Dauphin
April 11, 2012**

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, August Mcmmi the Director DC DCEID certify that the Five Year and
Annual PHA Plan of the Housing Authority of the County of Dauphin is consistent with the Consolidated Plan of
Dauphin County prepared pursuant to 24 CFR Part 91.


3/28/2012
Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Housing Authority of the County of Dauphin

Program/Activity Receiving Federal Grant Funding

HUD PHA Plan (Operating Fund/Capital Fund/Section 8 Programs)

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

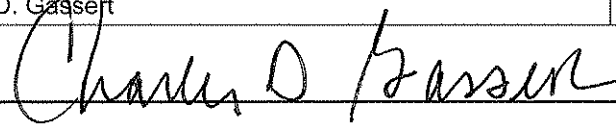
Name of Authorized Official

Charles D. Gassett

Title

Executive Director

Signature

X 

Date

April 11, 2012

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the County of Dauphin

Program/Activity Receiving Federal Grant Funding

HUD PHA Plan (Operating Fund/Capital Fund/Section 8 Programs)

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

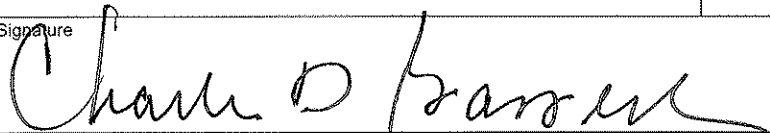
Name of Authorized Official

Charles D. Gassert

Title

Executive Director

Signature



Date (mm/dd/yyyy)

04/11/2012

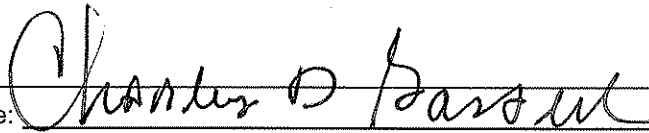
DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance		2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award		3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known : Congressional District, if known : 4c			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: N/A Congressional District, if known :		
6. Federal Department/Agency: Department of Housing and Urban Development			7. Federal Program Name/Description: HUD PHA Plan CFDA Number, if applicable: _____		
8. Federal Action Number, if known : N/A			9. Award Amount, if known : \$ N/A		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): N/A 		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature:  Print Name: Charles D. Gassert Title: Executive Director Telephone No.: 717-939-9301 Date: 4/11/2012		
Federal Use Only:				Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing Expires 4/30/2011
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or ☒ Annual PHA Plan for the PHA fiscal year beginning 7/1/12, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the
County of Dauphin

PA035

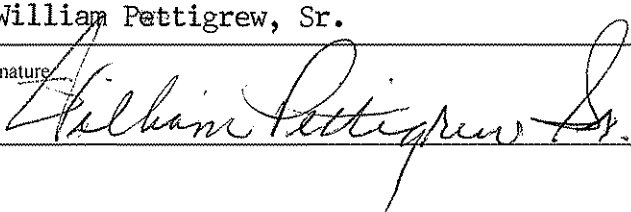
PHA Name

PHA Number/HA Code

5-Year PHA Plan for Fiscal Years 20____ - 20____

X Annual PHA Plan for Fiscal Years 2012 - 20____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
William Pettigrew, Sr.	Chairman
Signature	Date
	April 11, 2012